

February 25, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE  
Box 16  
Washington, DC 20231

Attn: Frank Lebron  
Refund Department

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of January, 2005. See the highlighted charge on serial number 10/089,162 in the amount of \$90.00 on fee code #1202.

When this application was filed on March 27, 2002, a preliminary amendment containing 30 claims accompanied the specification. A check in the amount of \$1,070.00 was included in that filing. The fee for 10 additional claims in the amount of \$180.00 was part of that payment. An amendment was filed on October 20, 2003, which added 1 extra claim. A check in the amount of \$1,148.00 was included in that filing. The fee for one extra claim in the amount of \$18.00 was part of that payment. No additional claims have been added since that amendment was filed; therefore our account should not be charged additional claim fees.

Please review this application and kindly refund \$90.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel  
Accounting Department

Statement Date: 04/01/2005 SDIRETA1  
6/2005 ASINGLET 00000001 150030 10089162  
C:1202 90.00 CR  
Enclosures

## Deposit Account Statement


**United States  
Patent and  
Trademark Office**
**Deposit Account Statement**

Requested Statement Month:

January 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

1940 DUKE STREET

Address:

ALEXANDRIA

City:

VA

State:

22314

Zip:

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/03	2	10182714	226628US0PCT	1806	\$180.00	\$33,243
01/04	4	10405843	236204USO	1806	\$180.00	\$33,063
01/04	20	10994321	262194US2	1201	\$88.00	\$32,975
01/04	98	09355801	9847-0020-6X	1814	\$20.00	\$32,955
01/04	208	10937391	258521US41	1051	\$130.00	\$32,825
01/05	1	09908575	211531US0	1201	\$258.00	\$32,567
01/05	1	10504848	257500US0PCT	1615	\$18.00	\$32,549
01/05	9	10076284	219507US2S D	1201	\$86.00	\$32,463
01/05	39	10767350	246434US/KQU	8007	\$20.00	\$32,443
01/05	70	11024778	263951US	1202	\$70.00	\$32,373
01/05	72	11024778	263951US	1203	\$360.00	\$32,013
01/05	73	11024778	263951US	1051	\$130.00	\$31,883
01/05	153	10924982	257588US2	1051	\$130.00	\$31,753
01/05	159	60533231	247341US/KQU	8007	\$20.00	\$31,733
01/06	1	10089162	220986US0XPC	1202	\$90.00	\$31,643
01/06	2	09485189	7967-0024-0P	1811	\$100.00	\$31,543
01/06	3	10386572	235198US2	1811	\$100.00	\$31,443
01/06	7	10498348	254458US2PCT	1615	\$108.00	\$31,335
01/06	9	10475310	244072US2PCT	2615	\$36.00	\$31,299
01/06	35	PCT/US04/28804	231752WO	1703	-\$12.00	\$31,311
01/06	93	10471598	241914US0PCT	1051	\$20.00	\$31,291
01/06	314	11026015	263957US2SRD DIV	1202	\$250.00	\$31,041
01/07	1	09987885	216172US0RE	1201	\$172.00	\$30,869
01/07	3	10169070	224115US0PCT	1402	\$500.00	\$30,369
01/07	492	10047089	218233US2	8001	\$30.00	\$30,339
01/10	1	10498982	254963US0PCT	1613	\$920.00	\$29,419
01/10	4	09512817	1115-0008-2	1201	\$86.00	\$29,333
01/10	7	10461399	239172US2	1806	\$180.00	\$29,153

OSMM&N File No. 220986US0X PCT By NFO/tm/FF Due Date March 27, 2002

Serial No. New U.S. PCT Application based on PCT/FR00/02653

In the matter of the Application of Guy LUMIA, et al.

For PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK COMPOUNDS BY A DENSE FLUID UNDER PRESSURE

The following has been received in the U.S. Patent Office on the date stamped hereon:

☒ 46 pgs. Specification 23 Claims (English Translation) 0 pgs. Sequence Listing

☒ Combined Declaration, Petition & Power of Attorney ( 3 pages)

☐ Petition to Revive Under 37 C.F.R. 1.137 (b)

☒ Notice of Priority;

☒ Check for \$ 1,070.00;

☐ Assignment        pages/PTO-1595

☒ Drawings 2 sheets

☒ PCT Transmittal Letter

☒ Preliminary Amendment

☒ PCT/IB/304

☐ Letter Regarding Claim to Small Entity Status

☐ International Preliminary Examination Report

☐ Information Disclosure Statement;

☐ Cited References ( )

☐ List of Related Cases

☒ International Search Report

☒ Request for Consideration of Documents Cited in International Search Report

☐ Letter Regarding Translation of Annexes

☐ Translation of Annexes to International Preliminary Examination Report

Amended Sheets ( )

SERIAL NO.       

DATE RECEIVED       

☐ CLAIMING SMALL ENTITY STATUS

☒ Dep. Acct. Order Form



☒ PCT/IB/304

☐ PTO-1449

☐ Statement of Relevancy

☐ Cited Pending Applications(#)

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

220986US0PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.  
PCT/FR00/02653INTERNATIONAL FILING DATE  
26 September 2000PRIORITY DATE CLAIMED  
27 September 1999

## TITLE OF INVENTION

PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK COMPOUNDS BY A DENSE FLUID  
UNDER PRESSUREAPPLICANT(S) FOR DO/EO/US  
Guy LUMIA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice of Priority/ PCT/IB/304

Drawings (3 sheets)/ PCT/IB/308

Request for Consideration of Documents Cited in International Search Report

U.S. APPLICATION NO. (IF KNOWN, SEE 37 C

INTERNATIONAL APPLICATION NO.  
PCT/FR00/02653ATTORNEY'S DOCKET NUMBER  
220986US0PCT

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	30 - 20 =	10	x \$18.00
Independent claims	3 - 3 =	0	x \$84.00

Multiple Dependent Claims (check if applicable).

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30 +

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

TOTAL FEES ENCLOSED =

Amount to be:  
refunded \$  
charged \$

- a. ☒ A check in the amount of \$1,070.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



22850

SIGNATURE

Norman F. Oblom

NAME


24,618

REGISTRATION NUMBER

DATE

ARLINGTON, VA 22202  
ONE THOUSAND SEVENTY AND 00/100 DOLLARS

0868

AMOUNT   
\$1,070.00

VOID IF NOT CASHED WITHIN 90 DAYS

*Sumudu Lakshar*  
AUTHORIZED SIGNATURE

➤ New U.S. PCT Application

№ 265113 № 0560076041: 20651734815441

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931000011  
COLLECTING BANK SVC-141  
04242002

4919759534

FIRST VIRGINIA BANK  
4102 ALLEGATION BLVD.  
FALLS CHURCH, VA  
1-800-523-5498  
E 056 001 118

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13-10-0001  
04-02-2002  
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Dept.: CHEMICAL  
By: NFO/SUK/kh

OSMM&N File No. 220986US0X PCT

Serial No. 10/089,162

In the matter of the Application of: Guy LUMIA, et al.

For: PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK  
COMPOUNDS BY A DENSE FLUID UNDER PRESSURE

Due Date: OCTOBER 21, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Check for \$1,148.00

■ Dep. Acct. Order Form

■ Amendment Transmittal Form

■ Request for Extension of Time (3)

■ Amendment and Request for Reconsideration (15 pp.)

■ Information Disclosure Statement

■ Form PTO-1449

■ Cited Reference (1)



Docket No. 220986US0X PCT  
IN RE APPLICATION OF: Guy LUMIA, et al.  
SERIAL NO: 10/089,162  
FILED: March 27, 2002  
FOR: PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK COMPOUNDS BY A DENSE  
FLUID UNDER PRESSURE

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment and request for reconsideration in the above-identified application.

- ☐ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☒ Additional documents filed herewith: Request for Extension of Time (3)  
Information Disclosure Statement  
Form PTO-1449  
Cited Reference (1)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
				1	x \$18 =	\$18.00
TOTAL	31	MINUS	30			
				0	x \$86 =	\$0.00
INDEPENDENT	3	MINUS	3			
					+ \$290 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				\$18.00
		TOTAL OF ABOVE CALCULATIONS				\$18.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment				\$0.00
		TOTAL				\$18.00

- ☒ A check in the amount of \$1,148.00 is attached.  
☐ Credit card payment form is attached to cover the fees in the amount of \$0.00  
☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.  
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No. 24,618

Customer Number  
**22850**  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Stefan U. Koschmieder, Ph.D.  
Registration No. 50,238



OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

WACHOVIA BANK, N.A.  
68-760/560

299344

299344  
0868

PAY

ONE THOUSAND ONE HUNDRED FORTY-EIGHT AND 00/100 DOLLARS

AMOUNT

10/20/2003

\$1,148.00

TO THE  
ORDER  
OF:

DIRECTOR OF THE U.S. PATENT & TRADEMARK OFFICE

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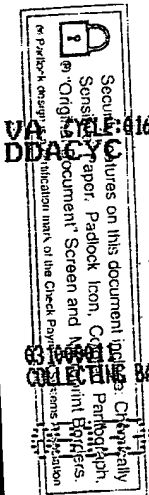
AUTHORIZED SIGNATURE

DOCKET 220986US

GFX3; GFIDS; GFCL20

⑈299344⑈ ⑆056007604⑆ 2065173481544⑈

⑈0000114800⑈



10272003

BANK SVC-071  
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0531011214 684T #2337  
2501 WOOTEN BLVD PKT010  
WILSON NC 27893 10242003

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